



# String Distance and Dynamic Programming

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## Lecture 8



# Life is similar

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- Life is based on a repertoire of successful structural and interrelated building blocks which are passed around
- The vast majority of proteins are the result of a series of genetic duplications and subsequent modifications
- “Everything in life is so similar that the same genes that work in flies are the ones that work in humans” (Wieschaus, 1995)



# Comparison and analogy

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- By identifying and comparing related objects we can distinguish variable and conserved features, and thereby determine what is crucial to structure and function
- Biological universality occurs at many levels of details, so we can compare not only the sequence data, but 3D shapes, chemical pathways, morphological features etc.



# Why compare biosequences

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- The biological sequences encode and reflect higher-level molecular structures and mechanisms
- **In bimolecular sequences (DNA, RNA or protein), high sequence similarity usually implies significant structural and functional similarity**
- A tractable, though partly heuristic way to infer the structure and function of an unknown protein is to search for the similar known proteins at the sequence level



# Keep in mind

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- There is no one-to-one correspondence between similar sequences and similar structures or between sequences and functions:
  - Similar structures can be obtained from completely unrelated sequences
  - Very similar sequences can produce very different structures depending on the location of a change



# A shift to approximate pattern matching

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- Approximate – means some errors are allowed in valid matches
- The shift is accompanied by a shift in technique: *dynamic programming*

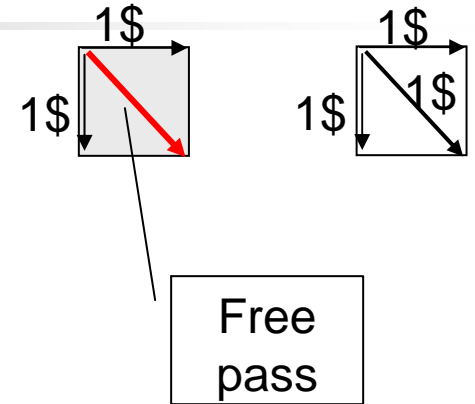
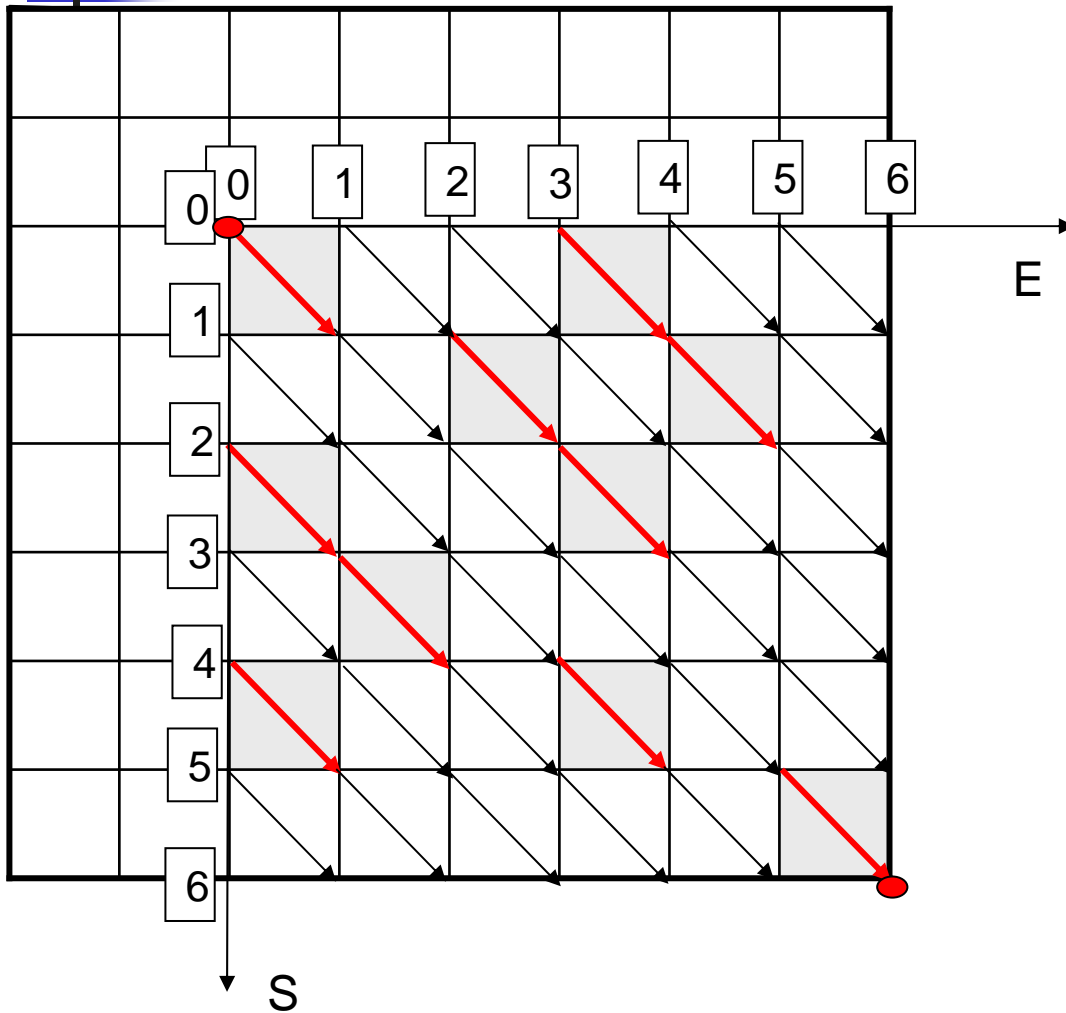


# Dynamic programming

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The main tool in approximate  
pattern matching

# The cheapest path

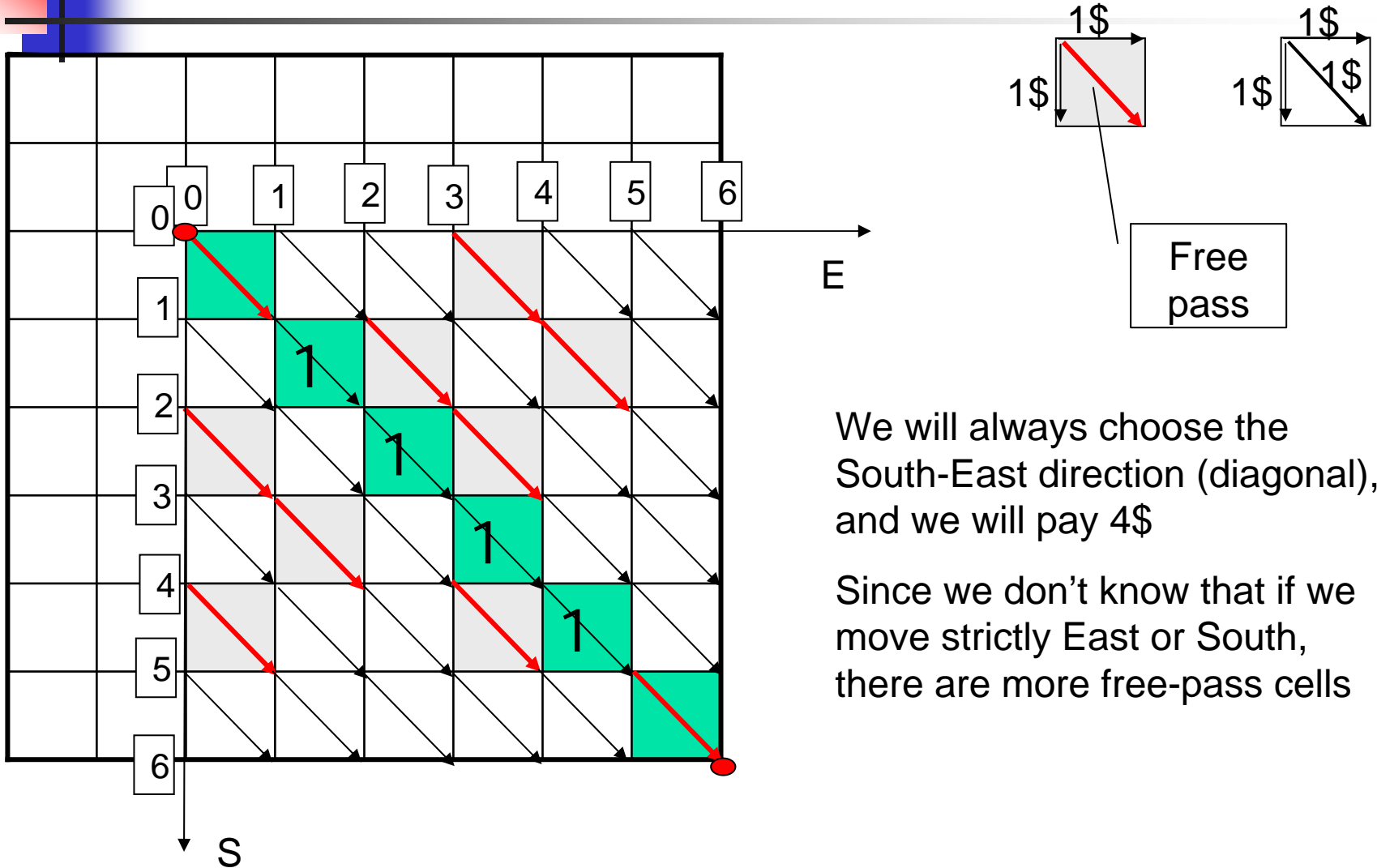


Problem:

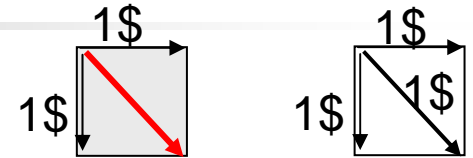
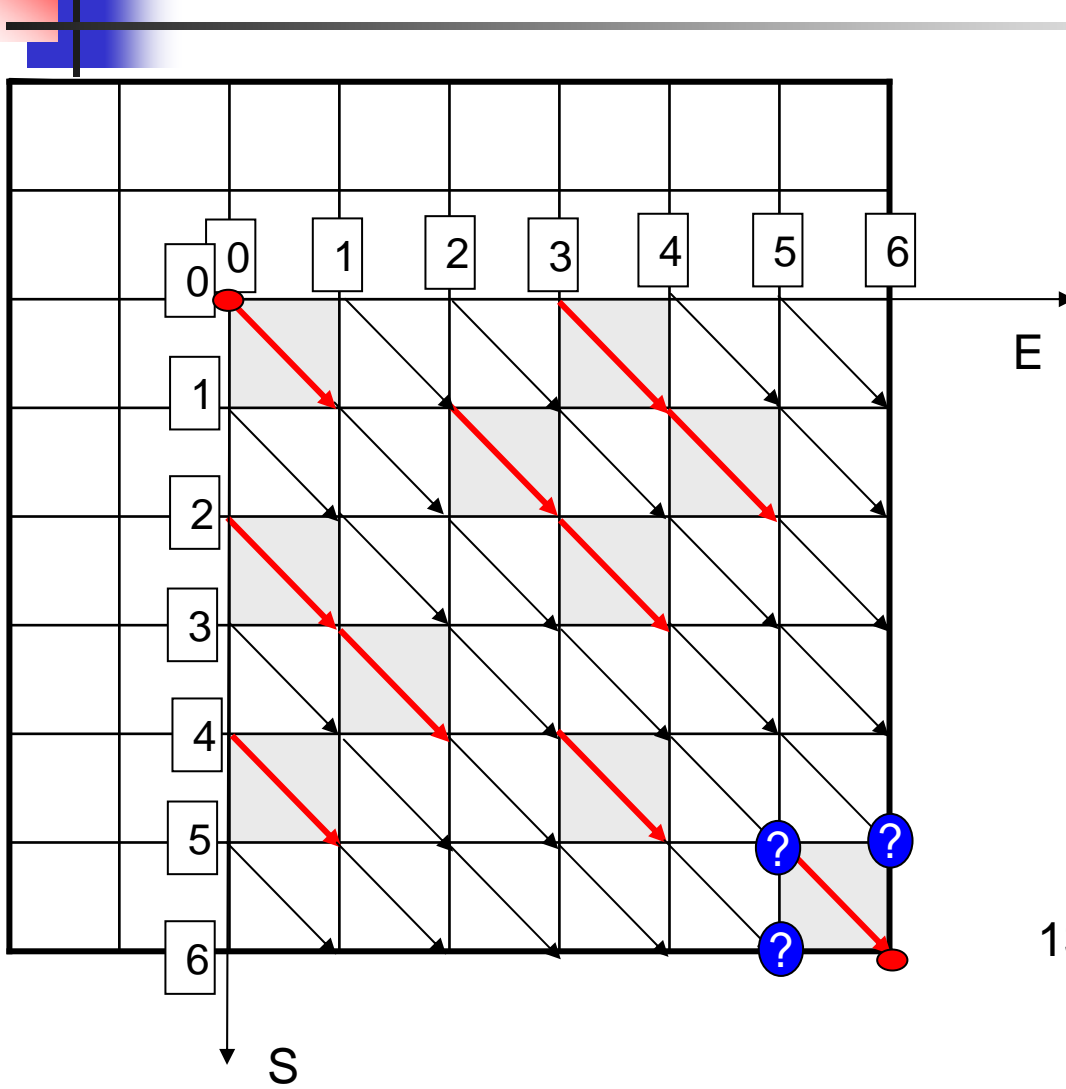
find the cheapest path  
from (0,0) to (6,6)



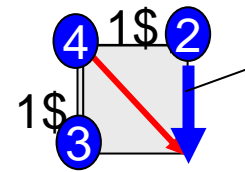
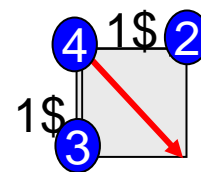
# The path without a map



# Sub-problems approach

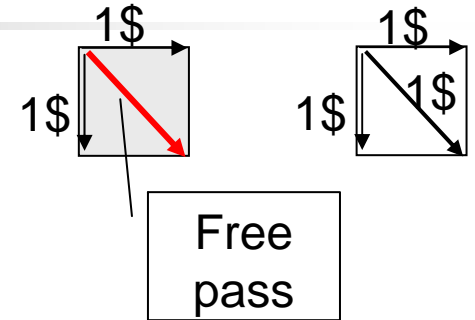
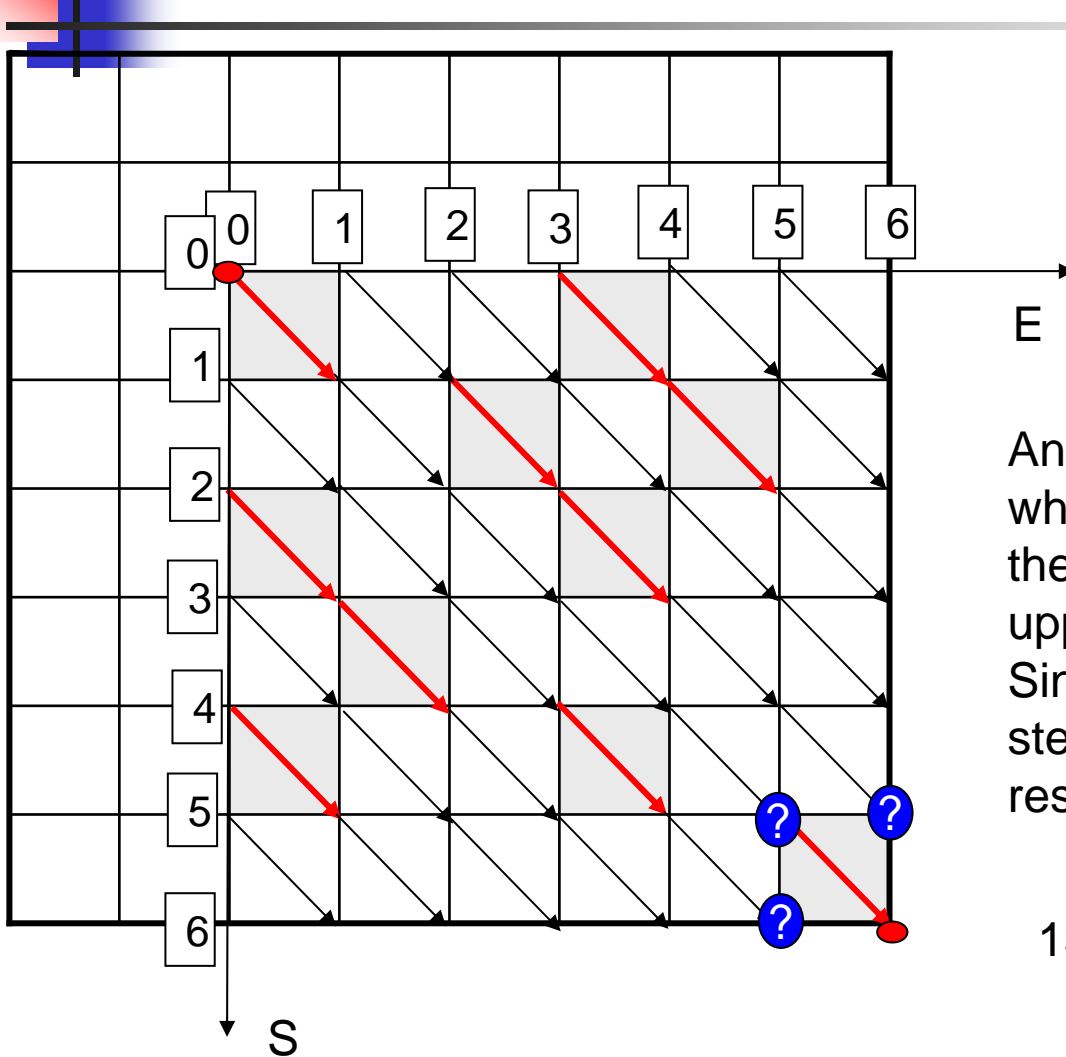


If we knew the cheapest paths  
 from (0,0) to (5,5)  
 from (0,0) to (6,5)  
 from (0,0) to (5,6)  
 we could choose the best  
 last step to the destination:  
 For example, if:

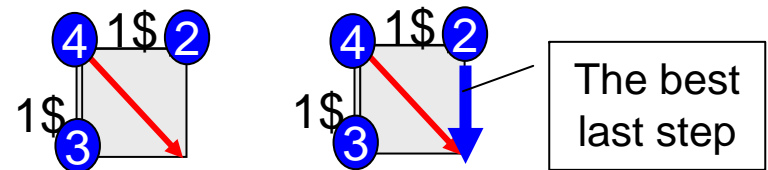


The best  
 last step

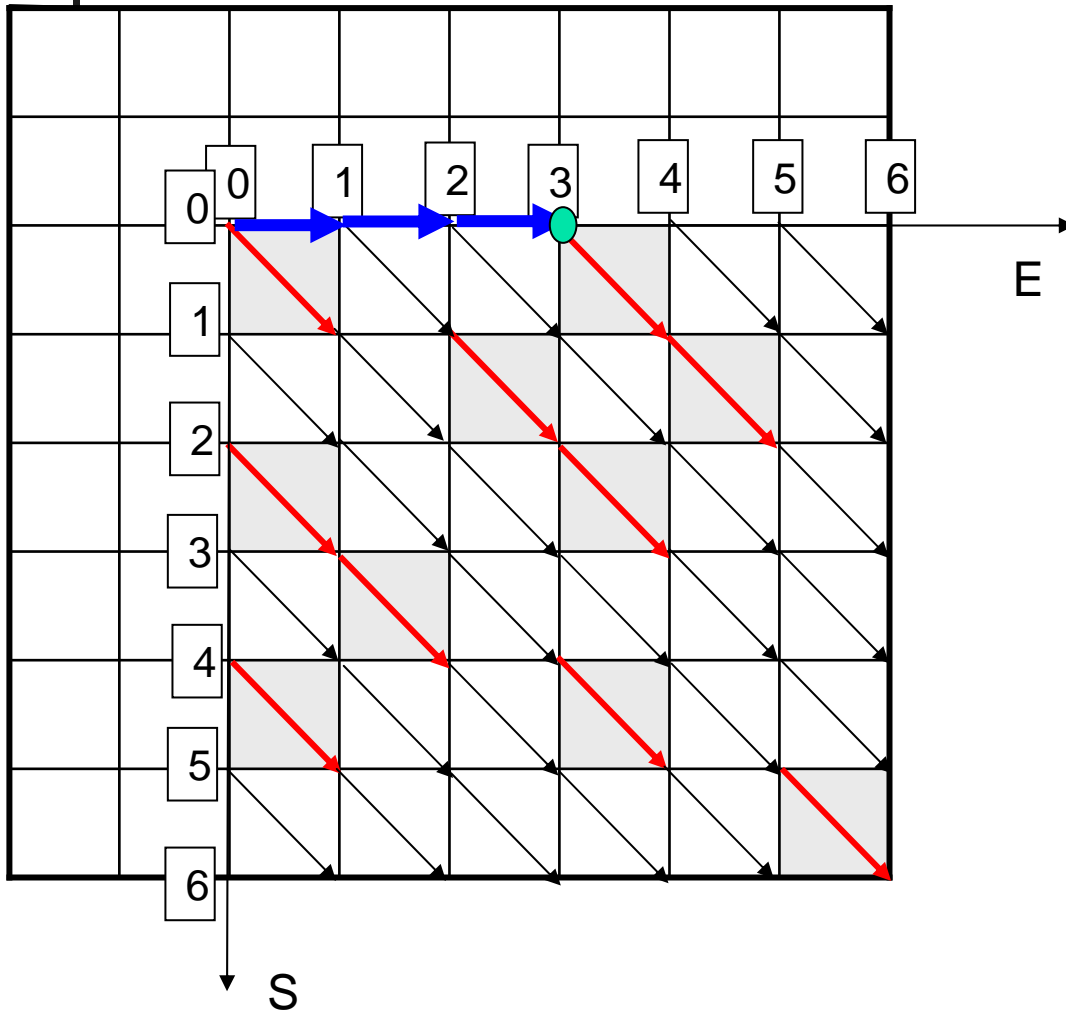
# The sub-problems approach



And this is true for any cell – what path to choose depends on the cheapest paths to the left, upper, and upper-left corner. Since we are choosing only 1 step, we can take the min of the result



# The recurrence relation – base condition



When  $i=0$ , there is no cheaper way of going from  $(0,0)$  to  $(0,j)$  than to pay  $j$  \$ - heading strictly to the right (East)

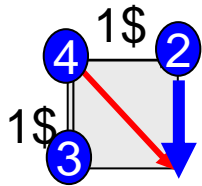
The same for  $j=0$ .

The base condition:

if  $i=0$  then  $COST(i,j)=j$

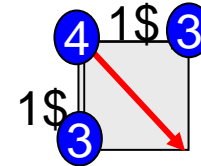
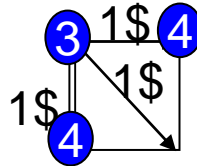
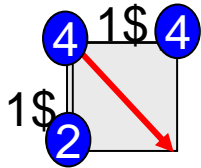
if  $j=0$  then  $COST(i,j)=i$

# The recurrence relation (for $i > 0$ and $j > 0$ )



$$\text{COST}(i,j) = \min \begin{cases} \text{COST}(i-1,j)+1 \\ \text{COST}(i,j-1)+1 \\ \text{COST}(i-1,j-1)+\text{DIAGONAL}(i,j) \end{cases}$$

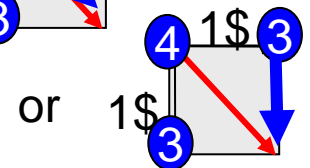
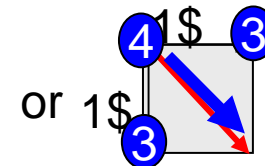
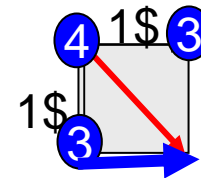
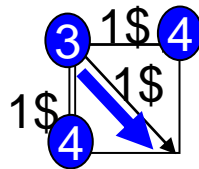
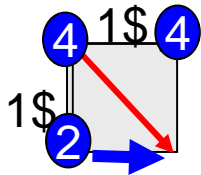
For each case, what is the best move?



# The recurrence relation

$$\text{COST}(i,j) = \min \begin{cases} \text{COST}(i-1,j)+1 \\ \text{COST}(i,j-1)+1 \\ \text{COST}(i-1,j-1)+\text{DIAGONAL}(i,j) \end{cases}$$

The best moves:



# The top-down (usual) recursion

$$\text{COST}(i,j)=\min \left\{ \begin{array}{l} \text{COST}(i-1,j)+1 \\ \text{COST}(i,j-1)+1 \\ \text{COST}(i-1,j-1)+\text{DIAGONAL}(i,j) \end{array} \right.$$

**algorithm cheapestCost** ( array *diagonalCost*, *N*, *M* )

**return** *cost* ( *N*, *M* )

**algorithm cost** ( *i*, *j* )

**if** *i*=0 **then**

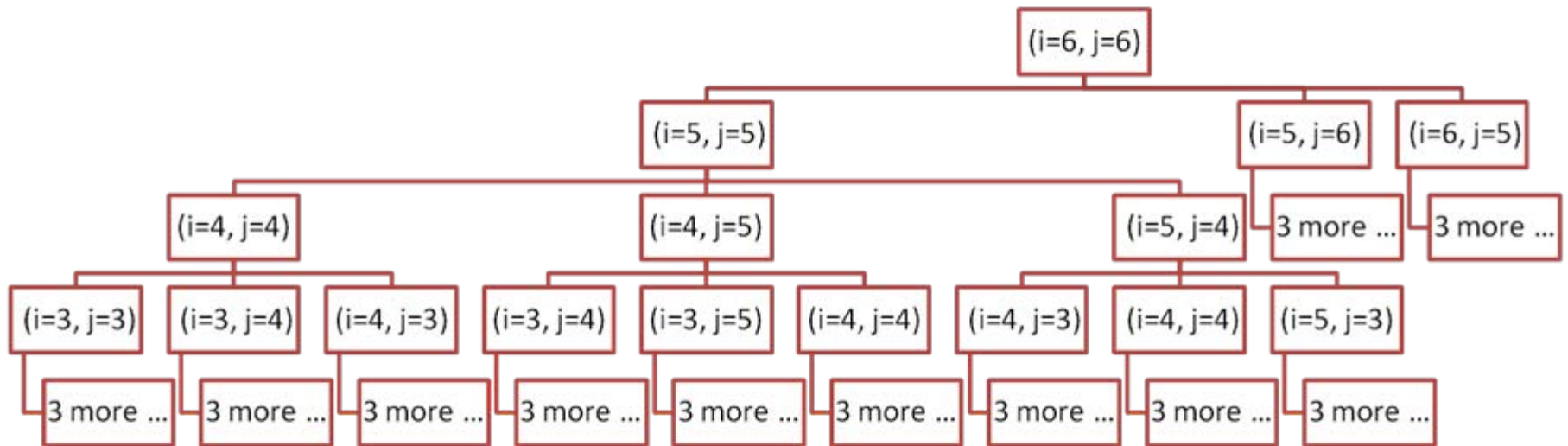
**return** *j*

**if** *j*=0 **then**

**return** *i*

**return** **min** ( *cost* ( *i*-1, *j* ) +1, *cost* ( *i*, *j*-1 ) +1, *cost* ( *i*-1, *j*-1 ) +*diagonalCost* [*i*] [*j*] ) )

# The recursion tree

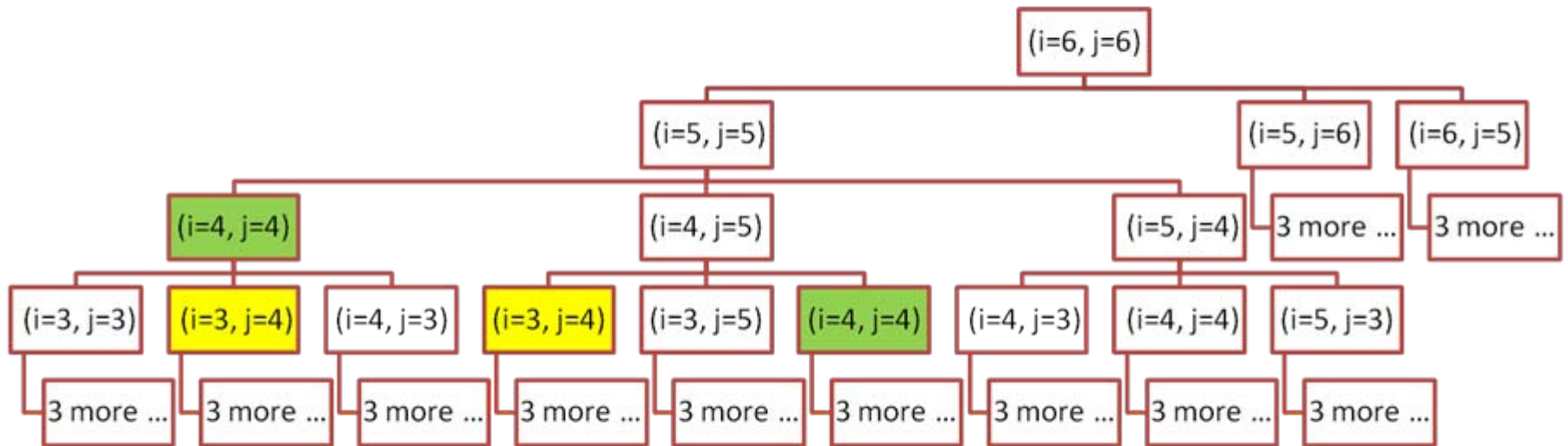


$O(3^N)$  ?

But there are only  $N \cdot M$   
different combinations



# The recursion tree



$O(3^N)$  ?

We call the recursive function multiple times with the same parameters



# Dynamic programming steps

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- The recurrence relation
- The bottom-up computation
- The traceback



# Dynamic programming I

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- **The recurrence relation**
  - The bottom-up computation
  - The traceback



# The recurrence relation

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The base condition:

if  $i=0$  then  $COST(i,j)=j$   
if  $j=0$  then  $COST(i,j)=i$

The main relation ( for  $i>0$  and  $j>0$ )

$COST(i,j)=\min \left\{ \begin{array}{l} COST(i-1,j)+1 \\ COST(i,j-1)+1 \\ COST(i-1,j-1)+DIAGONAL(i,j) \end{array} \right.$



# Dynamic programming II

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- The recurrence relation
- **The bottom-up computation**
- The traceback

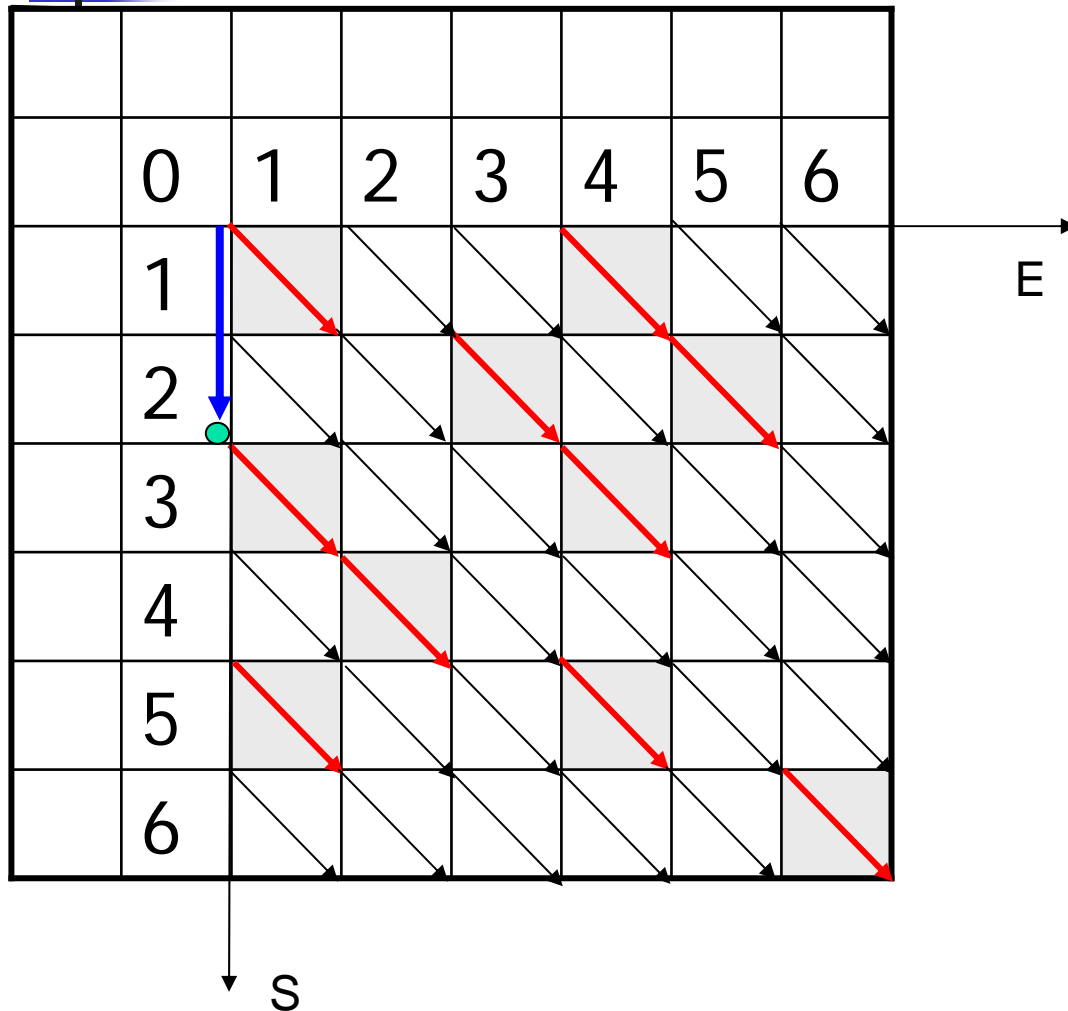


# The bottom-up computation

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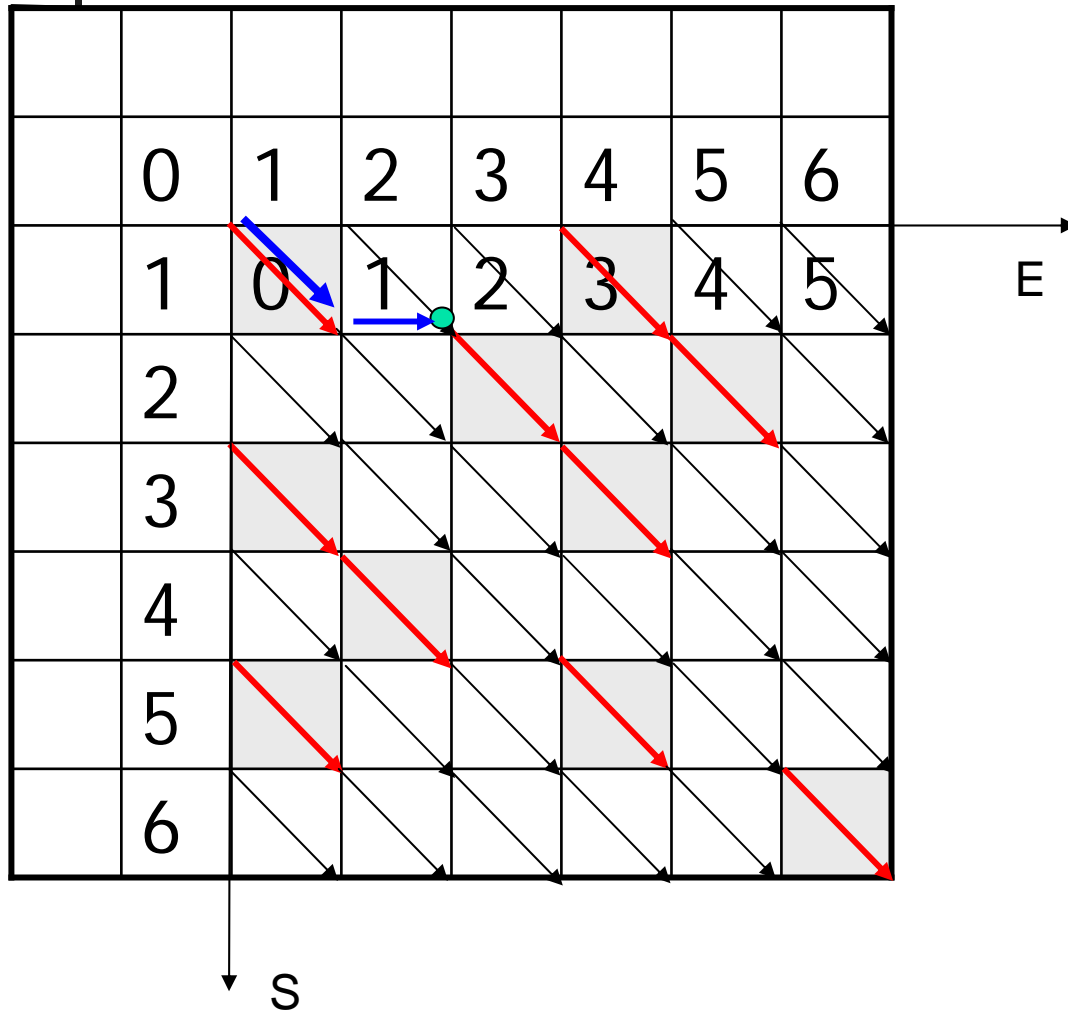
- Fill in the best values for each cell of the  $N \times M$  table starting from the lowest values
- First, compute the basic values of recursion – for  $i=0$  and for  $j=0$
- Apply recursion relation for computing the value of each cell from the lowest numbers of  $i$  and  $j$  to the largest
- At the end, we will have the cost of the best path in the cell  $(N, M)$

# Fill values for $i=0$ and for $j=0$ (the base recursion condition)



There is no cheaper way of going to the point (2,0) than paying 2 \$

# Fill values for $i=1$ (from left to right)



Cell(1,2)=1

since the  
cheapest  
possible way is  
to continue the  
free path  
through the cell  
(1,1)



# Fill in the entire table (left-to-right top-down)

|   | 0 | 1 | 2 | 3 | 4 | 5 | 6 |
|---|---|---|---|---|---|---|---|
| 1 | 0 | 0 | 1 | 2 | 3 | 4 | 5 |
| 2 | 1 | 1 | 1 | 2 | 3 | 4 |   |
| 3 | 2 | 2 | 2 | 1 | 2 | 3 |   |
| 4 | 3 | 2 | 3 | 2 | 2 | 3 |   |
| 5 | 4 | 3 | 3 | 3 | 3 | 3 |   |
| 6 | 5 | 4 | 4 | 4 | 4 | 3 |   |

The cheapest possible path costs 3\$

But what is this path?

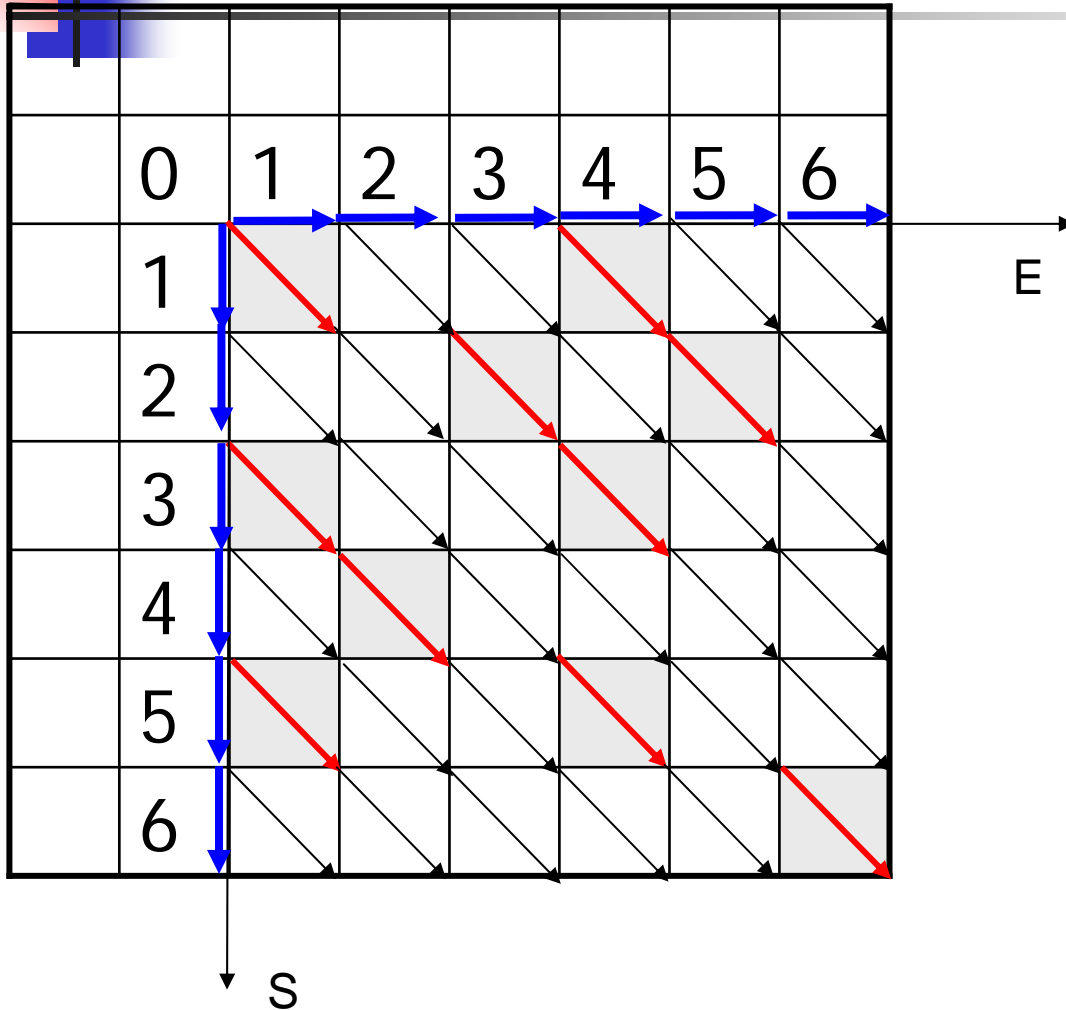


# Dynamic programming III

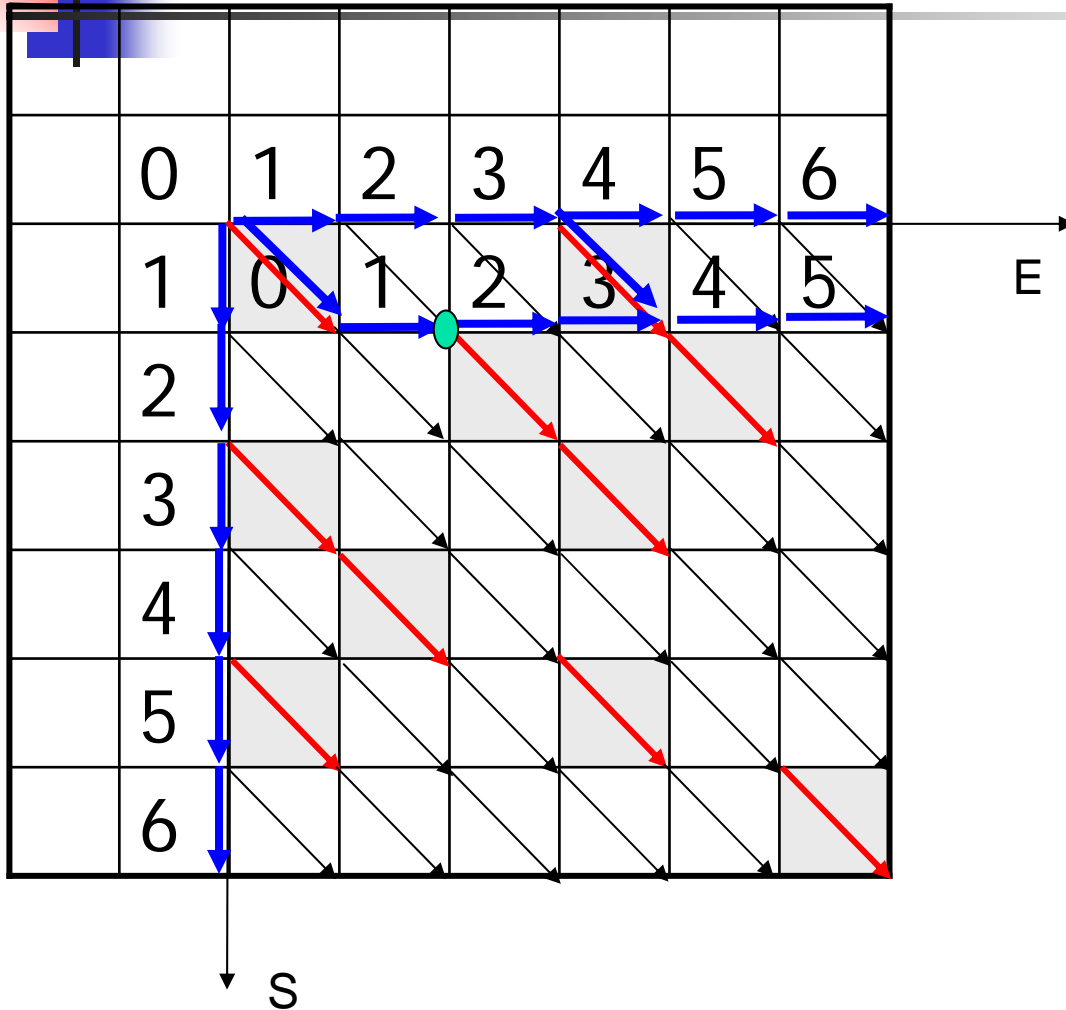
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- The recurrence relation
- The bottom-up computation
- **The traceback**

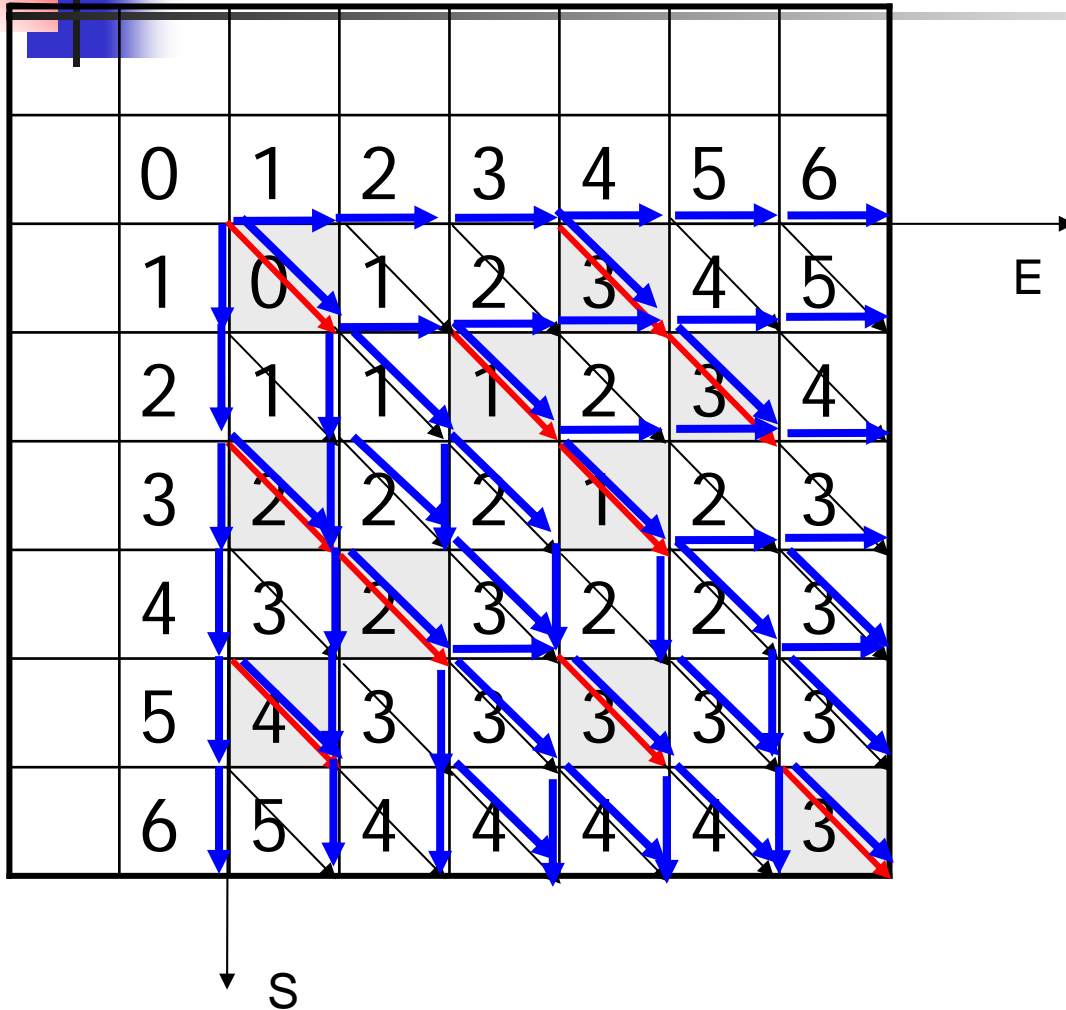
# Keeping track of the source



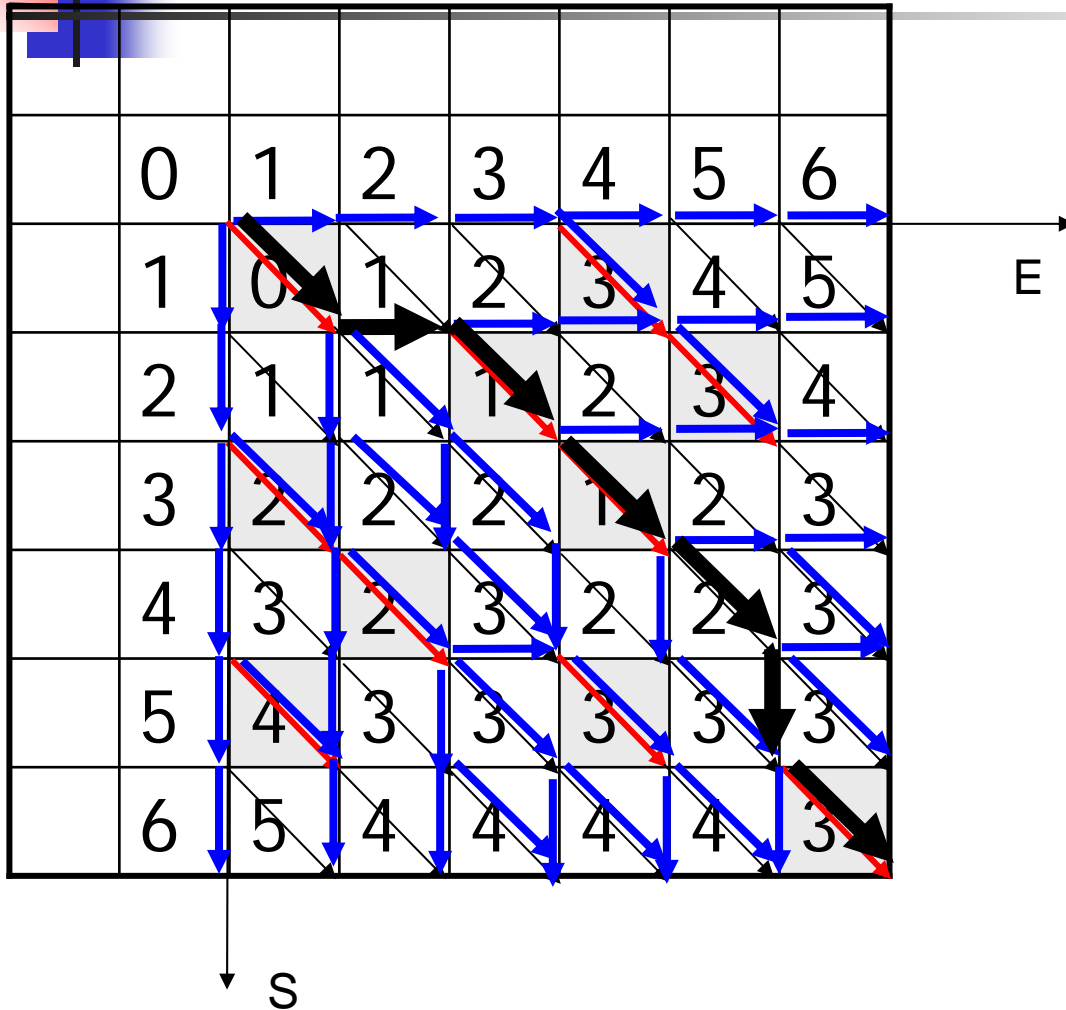
# Keeping track of the source



# Keeping track of the source



Trace back –  
how did we get the path with the cost 3





# Complexity of the DP algorithm

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- 2 nested loops:  $O(NM)$



# Edit distance

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String dissimilarity



# Edit Operations

- We can transform the second string S2 into the first string S1 by applying a sequence of edit operations on S2 :
  - Deleting 1 symbol
  - Inserting 1 symbol
  - Replacing 1 symbol

|    |   |   |   |   |   |   |   |   |
|----|---|---|---|---|---|---|---|---|
| S1 | a | c | t |   |   | a | t | g |
| S2 | a |   | t | a | c | a |   | g |

Insert c

Delete a, c

Insert t

In total, 4 edit operations

# String alignment

- An *alignment* of 2 strings is obtained by first inserting spaces (gaps), either into or at the end of both strings, and then placing the 2 resulting strings one above the other, so that every character or space in either string is opposite a single character or space in the other string

|    |   |   |   |   |   |   |   |   |
|----|---|---|---|---|---|---|---|---|
| S1 | a | c | t | - | - | a | t | g |
| S2 | a | - | t | a | c | a | - | g |

alignment

4 gaps,  
no mismatches

# Edit distance

- The *edit distance* between two strings is defined as the minimum number of edit operations needed to transform one string into another

|    |   |   |   |   |   |   |   |
|----|---|---|---|---|---|---|---|
| S1 | a | c | t | a | t |   | g |
| S2 | a |   | t | a | c | a | g |

Insert c

Replace c  
by t

Delete a

In total, 3 edit operations



# Optimal alignment

- An optimal alignment is obtained from the calculation of the edit distance

|    |   |   |   |   |   |   |   |
|----|---|---|---|---|---|---|---|
| S1 | a | c | t | a | t | - | g |
| S2 | a | - | t | a | c | a | g |



Optimal alignment

2 gaps,

1 mismatch

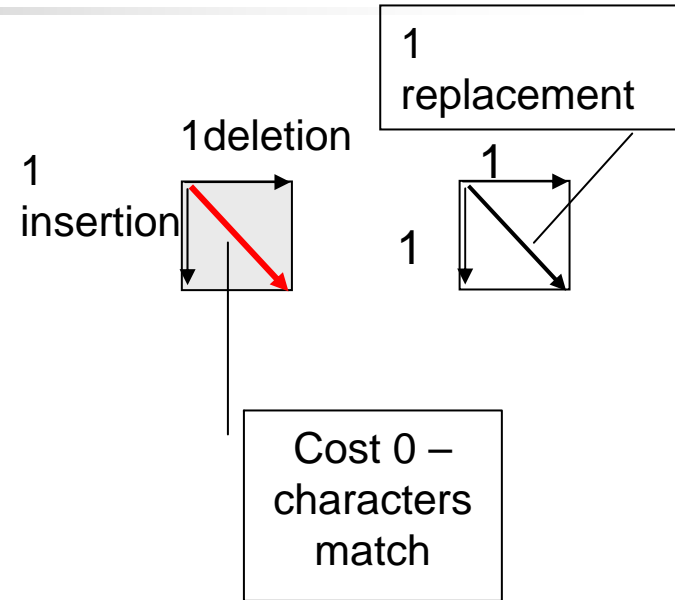
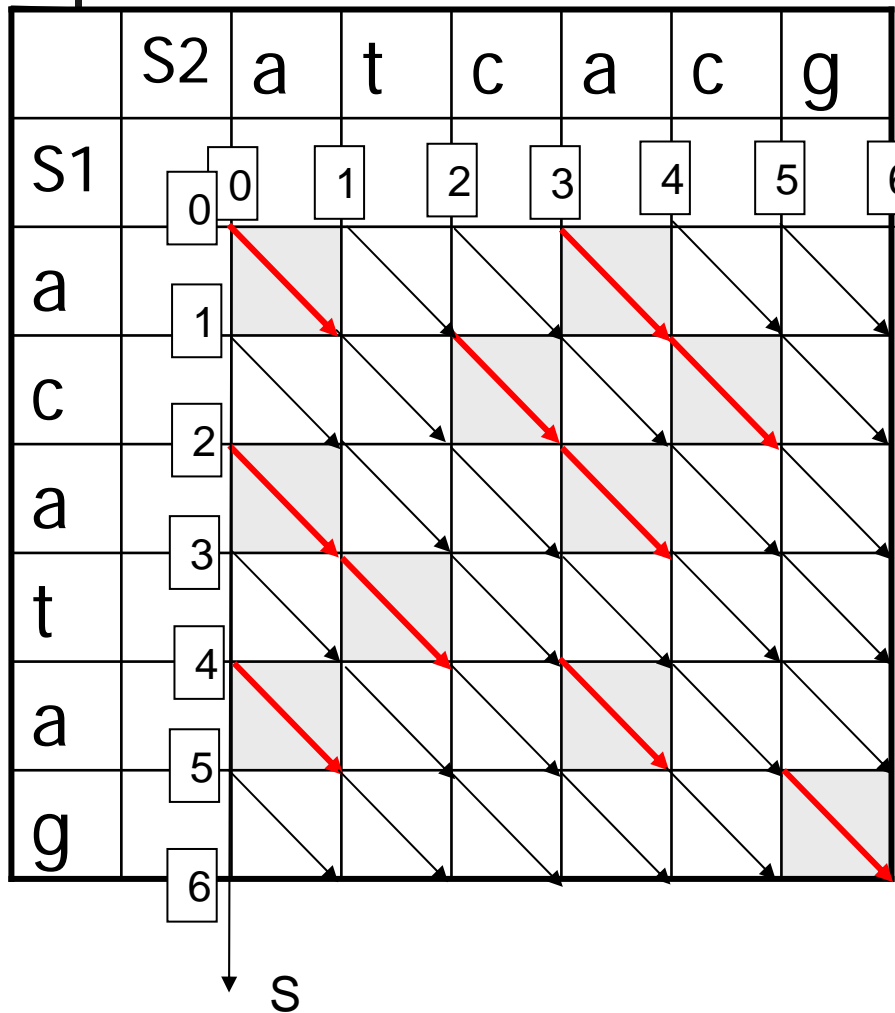


# The edit distance problem

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- Compute the edit distance between two strings along with a sequence of the operations which describe the transformation

# Analogy with the cheapest path





# The dynamic programming solution to the edit distance problem

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- Trivially follows from the solution for the cheapest path:
  - If we moved strictly down in the grid, we inserted 1 symbol into  $S_2$
  - If we moved strictly to the right, we deleted 1 symbol from  $S_2$
  - If we moved by diagonal of cost 0, we matched the corresponding characters
  - If we moved by diagonal of cost 1, we replaced one symbol in  $S_2$  with the corresponding symbol in  $S_1$