# Suffix sorting 

## Lecture 5.1 <br> Algorithm based on Larsson fast suffix sorting

## Reading:

http://www.larsson.dogma.net/ssrev-tr.pdf

## How do we construct the suffix array

- The suffix array can be constructed from the suffix tree
- Why NOT to do it:
- The suffix tree construction algorithms are complex
- We need an intermediate space to store the suffix tree - which may be too big!


## Larsson algorithm: intuition

- Sort suffixes by prefix of length 1 character
- Now, in order to sort suffixes by prefix of length 2 , we can look at the results of the previous sorting at position i+1
- Once the suffixes are sorted by prefix of length 2 , we can now produce a suffix order for prefixes of length 4, by looking at the results of the previous step at position $\mathrm{i}+2$
- Once suffixes are sorted by prefix of length 4, we can immediately produce sorting of 8-character prefixes by looking at the results at position i+4
- At each iteration $h$, we produce total suffix sorting for prefixes of length $2^{\mathrm{h}}$, and in at most log $\mathbf{N}$ iterations we produce the final ranks for each suffix in the suffix array


## Larsson suffix sorting

- Complexity: $\mathrm{O}(\mathrm{N} \log \mathrm{N})$
- Assumption: the entire input string is in memory and all the intermediate ranks are in memory to be read at random position in a constant time


## SAMPLE RUN OF THE LARSSON ALGORITHM

| pos | c | h | i | h | u | a | h | u | a | \$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $i$ | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |

Sort (bucket or merge sort) by the first character of each suffix:
$h$-order with $\mathrm{h}=1$

|  | $\$$ | a | a | c | h | h | h | i | u | u |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| SA (Start <br> pos of <br> sorted <br> suffixes) | 9 | 5 | 8 | 0 | 1 | 3 | 6 | 2 | 4 | 7 |
| Pos in <br> SA: X | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| rank | 0 | 1 | 1 | 3 | 4 | 4 | 4 | 7 | 8 | 8 |
| Group <br> length | 1 | -2 |  | 1 | -3 |  |  | 1 | -2 |  |

For the next step we need rank (SA[X]+1)

| pos | c | h | i | h | u | a | h | u | a | \$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $i$ | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |

To resolve equal ranks we look at ranks at position i+1
$h$-order with $\mathbf{h = 2}$

|  | $\$$ | a | a | c | h | h | h | i | u | u |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Start pos | 9 | 5 | 8 | 0 | 1 | 3 | 6 | 2 | 4 | 7 |
| Pos in <br> SA: $X$ | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| rank | 0 | 1 | 1 | 3 | 4 | 4 | 4 | 7 | 8 | 8 |
| Group <br> length | 1 | -2 |  | 1 | -3 |  |  | 1 | -2 |  |

Rank 1 for a at position 5 is followed by rank 4, while rank 1 for a at position 8 is followed by rank 0 , so we can resolve ranks for two a's

| pos | c | h | i | h | u | a | h | u | a | \$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $i$ | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |

To resolve equal ranks we look at ranks at position i+1
h-order with $\mathbf{h = 2}$

|  | $\$$ | a | a | c | h | h | h | i | u | u |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Start pos | 9 | 8 | 5 | 0 | 1 | 3 | 6 | 2 | 4 | 7 |
| Pos in <br> SA: X | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| rank | 0 | 1 | 2 | 3 | 4 | 4 | 4 | 7 | 8 | 8 |
| Group <br> length | 1 | 1 | 1 | 1 | -3 |  |  | 1 | -2 |  |

Rank 1 for $a$ at position 5 is followed by rank 4, while rank 1 for $a$ at position 8 is followed by rank 0 , so we can resolve ranks for two $a$ 's

| pos | c | h | i | h | u | a | h | u | a | \$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $i$ | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |

To resolve equal ranks we look at ranks at position i+1
h-order with $\mathbf{h = 2}$

|  | $\$$ | a | a | c | h | h | h | i | u | u |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Start pos | 9 | 8 | 5 | 0 | 1 | 3 | 6 | 2 | 4 | 7 |
| Pos in <br> SA: X | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| rank | 0 | 1 | 2 | 3 | 4 | 5 | 5 | 7 | 8 | 8 |
| Group <br> length | 1 | 1 | 1 | 1 | 1 | -2 | -2 | 1 | -2 |  |

Similarly, we resolve ranks for h1, h3 and h6:
h1 - $(4,7)$, h3 - $(4,8)$, h6 - $(4,8)$
and for $u 4$ and $u 7$ :
u4 - $(8,1)$, u7-( 8,1$)$

| pos | c | h | i | h | u | a | h | u | a | \$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $i$ | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |

To resolve equal ranks we look at ranks at position i+1
h-order with h=2

|  | $\$$ | a | a | c | h | h | h | i | u | u |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Start pos | 9 | 8 | 5 | 0 | 1 | 3 | 6 | 2 | 4 | 7 |
| Pos in <br> SA: $X$ | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| rank | 0 | 1 | 2 | 3 | 4 | 5 | 5 | 7 | 8 | 8 |
| Group <br> length | 1 | 1 | 1 | 1 | 1 | -2 | -2 | 1 | -2 |  |

Because prefixes of length 2 are already sorted, next we look at ranks at position SA[X] +2

| pos | c | h | i | h | u | a | h | u | a | \$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $i$ | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |

To resolve equal ranks we look at ranks at position i+2
$h$-order with $h=4$

|  | $\$$ | a | a | c | h | h | h | i | u | u |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Start pos | 9 | 8 | 5 | 0 | 1 | 3 | 6 | 2 | 4 | 7 |
| Pos in <br> SA: $X$ | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| rank | 0 | 1 | 2 | 3 | 4 | 5 | 5 | 7 | 8 | 8 |
| Group <br> length | 1 | 1 | 1 | 1 | 1 | -2 | -2 | 1 | -2 |  |

To resolve ranks for h3 and h6:
h3 - $(5,2)$, h6 - $(5,1)$

To resolve ranks for $u 4$ and $u 7$ :
$u 4-(8,5), u 7-(8,0)$

| pos | c | h | i | h | u | a | h | u | a | \$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $i$ | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |

To resolve equal ranks we look at ranks at position i+2
$h$-order with $h=4$

|  | $\$$ | a | a | c | h | h | h | i | u | u |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Start pos | 9 | 8 | 5 | 0 | 1 | 6 | 3 | 2 | 7 | 4 |
| Pos in <br> SA: $X$ | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| rank | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| Group <br> length | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |

To resolve ranks for h 3 and h6:
h3-(5,2), h6 - $(5,1)$
To resolve ranks for $u 4$ and $u 7$ :
$u 4-(8,5), u 7-(8,0)$

| pos | c | h | i | h | u | a | h | u | a | \$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $i$ | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |

All suffixes now have their unique distinct rank: all are sorted

|  | $\$$ | a | a | c | h | h | h | i | u | u |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Start pos | 9 | 8 | 5 | 0 | 1 | 6 | 3 | 2 | 7 | 4 |
| Pos in <br> SA: $X$ | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| rank | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |
| Group <br> length | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |

## Final suffix array

| SA | 9 | 8 | 5 | 0 | 1 | 6 | 3 | 2 | 7 | 4 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |


| c | h | i | h | u | a | h | u | a | $\$$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |

Checking suffix order

| SA2 | 9 | 8 | 5 | 0 | 1 | 6 | 3 | 2 | 7 | 4 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | \$ | a | a | c | h | h | h | i | u | u |
|  |  | \$ | h | h | i | u | u | h | a | a |
|  |  |  | u | ... | h | a | a | .. | \$ | h |
|  |  |  | a |  | ... | \$ | h |  |  | u |
|  |  |  | \$ |  |  |  | u |  |  | .. |
|  |  |  |  |  |  |  | ... |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
| SA | 9 | 8 | 5 | 0 | 1 | 6 | 3 | 2 | 7 | 4 |

It works!

